















## Catastrophic Inherent Risk

<b>Risk</b>	<b>Description</b>	<b>Service</b>	<b>Inherent Scoring</b>	<b>Residual Scoring</b>	<b>Risk Owner</b>
R 195 - Catastrophic failure of dam wall at Chard Reservoir and flooding of nearby land and property	The dam wall and reservoir structures at Chard Reservoir are the responsibility of SSDC. Water levels must be monitored and controlled by a manual sluice. If the Dam wall should fail there would be severe flooding of neighbouring land and property.	Countryside	Critical	Medium	Katy Menday
R 209 - Treasury Management Risk	Current risks around treasury Management are through counterparty risk in financial institutions collapsing that SSDC has lent money to. There are also credit rating risks and interest rate risks; this would affect the contribution Treasury Management makes to annual revenue.	Finance	Critical	Medium	Donna Parham
R 237 - Council not sufficiently prepared for outbreak of pandemic flu or other major business continuity issues	The Council has a number of competing priorities for a limited resource in the Emergency Planning, Business Continuity and Health and Safety Service. The possibility of an outbreak of pandemic flu is growing all the time and has the potential to cause extreme disruption to the Council's services and to the wider community.	Civil Contingencies	Critical	High	Pam Harvey
R 279 - Efficient processes in back office are not set	If efficient processes are not set up in the back offices when the front end of the service is delivered to the customer in the front office, customers' requests and queries will stack up leading to increased calls, confusion and angry customers.	Operations & Customer Focus (Directorate)	Critical	Medium	Jason Toogood

R 298 - Financial security regulations for payments not met	PCIDSS (Payment card industry data security standard) controls for credit and debit card payments came into force in 2007. There is a risk that all other service areas that take credit and debit card payments could fall foul of the regulations. Finance, IT and audit team aware of issues. In particular recorded telephone calls will contain details of customers credit and debit card numbers and we need to be assured that Northgate do not store credit/debit card data in the 'back' of Front Office that could be accessed by hacker.	Finance	Critical		High		Donna Parham
R 327 - Ineffective direction of resources	Ineffective direction of resources	Economic Development	Critical		High		David Julian
R 328 - Members withdraw support to Economic Development or regeneration	Members withdraw support to Economic Development or regeneration	Economic Development	Critical		Medium		David Julian
R 346 - Health and Safety of Staff and Public is compromised	The market in Yeovil takes place in a pedestrianized area. Market traders vehicles have access to the market which poses a hazard to pedestrians. There are also electric cables serving the various stalls which pose potential trip hazards as well as the more serious risk of injury or death if defective.	Area Development (South)	Critical		High		Kim Close
R 366 - Homelessness - failure to intervene at an early stage	Failure to intervene at an early enough stage, if it occurs frequently, will lead to increased homelessness and, consequently, increased reliance on B&B or other temporary accommodation. Thus this is both a quality risk but also impinges on finances and reputation (both with public and with central Government)	Health and Wellbeing (AD)	Critical		Medium		Kirsty Larkins















R 384 - Management of former landfill site - Birchfield Park	Birchfield park is a former landfill site where the following risks could occur without necessary precautions: a) High levels of gas adjacent to residential property at Romsey Road. b) Gas Extraction plant failure c) Unauthorised encampments d) Pollution from leachate The following controls should prevent these major issues from occurring	Engineering & Property Services	Critical		Critical		Garry Green
R 412 - Lone workers are vulnerable	Lone workers are vulnerable to accidental injury or death, physical or verbal abuse, or assault. Also possibility of damage or theft of vehicle or other property	Revenues & Benefits	Critical		Medium		Ian Potter

## Catastrophic RESIDUAL Risk















Risk	Description	Service	Inherent Scoring	Residual Scoring	Risk Owner
R 384 - Management of former landfill site - Birchfield Park	Birchfield park is a former landfill site where the following risks could occur without necessary precautions: a) High levels of gas adjacent to residential property at Romsey Road. b) Gas Extraction plant failure c) Unauthorised encampments d) Pollution from leachate The following controls should prevent these major issues from occurring	Engineering & Property Services	Critical	Critical	Garry Green









## High Risk

Risk	Description	Service	Inherent Scoring	Residual Scoring	Risk Owner
R 1 - Risk of a Serious Data Breach or Security Incident leading to Sanction or Loss of Service	Risk of sanction or fine from the Information Commissioner, Payment Card Industry, loss of connection to the Government Network, loss of ability to process payments.	ICT	High	High	Roger Brown
R 8 - Local Plan Examiner finds plan unsound	Local Plan Examiner finds plan unsound and therefore requires Council to commence Plan work again meaning that the Council is without usable local planning policies for some considerable time	Spatial Policy	High	High	Andy Foyne
R 12 - Maintain an effective, tried & tested emergency plan	The emergency plan details the Councils response to an emergency affecting the Council either directly or indirectly.	Civil Contingencies	High	High	Pam Harvey

R 154 - Loss of Area revenue and capital budgets to support local schemes	Reduced ability to support communities to achieve their own priorities and objectives. Reduced quality of life in rural communities in this area.	Communities (AD)	High		High		Helen Rutter
R 189 - Sampson's Wood, Yeovil - liability of SSDC due to lease conditions on public access woodland surrounded by residences	Sampson's Wood-liability of SSDC due to lease conditions with woodland owner throughout open public access woodland on steep slopes with mature tree stock surrounded by dwellings.	Countryside	High		High		Katy Menday
R 220 - Inability to contact a Building Control Officer out of office hours for emergency call out	Internal Audit reviewed this risk as part of their inspection of Building Control Oct 2010 - see audit doc for recommendations.	Building Control	High		High		Dave Durrant
R 223 - Downturn in Workload and Income due to Competition from Approved Inspectors	Downturn in Workload and Income due to Competition from Approved Inspectors	Building Control	High		High		Dave Durrant
R 237 - Council not sufficiently prepared for outbreak of pandemic flu or other major business continuity issues	The Council has a number of competing priorities for a limited resource in the Emergency Planning, Business Continuity and Health and Safety Service. The possibility of an outbreak of pandemic flu is growing all the time and has the potential to cause extreme disruption to the Council's services and to the wider community.	Civil Contingencies	Critical		High		Pam Harvey
R 263 - Damage to building (including requiring evacuation eg fire)	Damage to building (including requiring evacuation eg fire)	Engineering & Property Services	High		High		Garry Green
R 295 - Secure annual funding for SSCAB	High quality data prepared annually presented to Corporate Grants Committee, to give Councillors confidence that high quality service is being delivered.	Third Sector & Partnerships	High		High		Alice Knight

R 298 - Financial security regulations for payments not met	PCIDSS (Payment card industry data security standard) controls for credit and debit card payments came into force in 2007. There is a risk that all other service areas that take credit and debit card payments could fall foul of the regulations. Finance, IT and audit team aware of issues. In particular recorded telephone calls will contain details of customers credit and debit card numbers and we need to be assured that Northgate do not store credit/debit card data in the 'back' of Front Office that could be accessed by hacker.	Finance	Critical		High		Donna Parham
R 323 - Theft of market fees	Market Superintendent collects fees from stall holders and then has to take the money collected to SSDC office for deposit.	Area Development (South)	High		High		Kim Close
R 327 - Ineffective direction of resources	Ineffective direction of resources	Economic Development	Critical		High		David Julian
R 342 - Economic Leaders Partnership - Purpose and outcomes not achieved	Purpose: To promote economic development in Somerset (SCC, SSDC and other Somerset Districts) Partnership newly reconfigured in 2012 as Local Enterprise Partnership	Third Sector & Partnerships	High		High		David Julian
R 343 - Break up of the Somerset Waste Partnership	Break-up of the Somerset Waste Partnership	Environment (AD)	High		High		Vega Sturgess
R 346 - Health and Safety of Staff and Public is compromised	The market in Yeovil takes place in a pedestrianized area. Market trader's vehicles have access to the market which poses a hazard to pedestrians. There are also electric cables serving the various stalls which pose potential trip hazards as well as the more serious risk of injury or death if defective.	Area Development (South)	Critical		High		Kim Close

R 353 - Verbal/physical abuse of staff	Angry customers threaten staff fairly regularly. There is the potential for physical attacks on staff.	Area Development (South)	High		High		Kim Close
R 365 - Physical abuse to staff & other services at Gypsy sites	Possibility of physical abuse to staff etc on site arising from unacceptable behaviour of residents, their friends, relatives or animals. Most likely if member of staff is placed in an enforcement situation. Not restricted to lone working, although less likely when not lone working. Also possible due to being 'caught in crossfire' if on-site when trouble erupts between residents or between residents and another agency (e.g. police)	Health and Wellbeing (AD)	High		High		Kirsty Larkins
R 383 - Loss of access of office buildings	This risk relates to access being prevented as a result of fire, flood or other major incidents. Disaster Recovery plan produced.	Engineering & Property Services	High		High		Garry Green
R 392 - Inappropriate or misappropriation of poisons	Inappropriate or misappropriation of poisons	Environmental Health	Medium		High		Vicki Dawson
R 393 - Potential Health and Safety Prosecution	Potential prosecution for breach of health and safety regulations in respect of operating the Council's leisure facilities and services.	Health and Wellbeing (AD)	High		High		Steve Joel
R 396 - Lack of Capital Resources For Play Areas and Youth Facilities	The lack of capital resources to support the development and replacement of play area and youth facilities, preventing the Council from delivering upon its play and youth facility commitments within the Corporate Plan.	Health and Wellbeing (AD)	High		High		Lynda Pincombe
R 408 - Somerset Waste Partnership - Purpose and outcomes not achieved	Purpose: To provide a combined waste collection and disposal function (SCC, SSDC, TDBC, MDC, WSDC, SDC).	Environment (AD)	High		High		Laurence Willis

R 425 - Financial Problems	The service expenditure rises above income levels	Street scene	Low		High		Chris Cooper
R 426 - Failure of Highway Verge Maintenance Contract	Failure of Highway Verge Maintenance Contract	Street scene	Medium		High		Chris Cooper
R 430 - Theft of Diesel from depot	Theft of Diesel from depot	Street scene	High		High		Chris Cooper
R 434 - Environmental factors affect the access to and from the depot and the ability for it to function	Environmental factors affect the access to and from the depot and the ability for it to function	Street scene	High		High		Chris Cooper



<b>Service</b>	<b>Total</b>
Corporate Services (Directorate)	2
Legal & Corporate Services (AD)	8
Fraud & Data	1
Human Resources	5
Financial & Corporate Services (AD)	0
Procurement & Risk	14
Revenues & Benefits	9
Finance	19
ICT	7
Communications	2
Operations & Customer Focus (Directorate)	6
Health and Wellbeing (AD)	28
Housing & Welfare	1
Community Health & Leisure	1
Countryside	10
Environment (AD)	5
Street scene	21
Licensing	3
Environmental Health	10
Engineering & Property Services	11
Civil Contingencies	9
Building Control	7
Spatial Systems	3
Performance	6
Economy (AD)	1
Development Control	9
Economic Development	7
Spatial Policy	2
Communities (AD)	1
Area Development (East)	7
Area Development (North)	4
Area Development (South)	10
Area Development (West)	1
Third Sector & Partnerships	14